

GSA Fiscal Year 2017 Goaling Without Exclusions Report Required by Section 15(h)(3)(A)(ii) of the Small Business Act

EXECUTIVE SUMMARY

This report provides an accounting of the number and dollar amount of all prime procurement contracts awarded by the Federal Government during fiscal year (FY) 2017, as required by Section 15(h)(3)(A)(ii) of the Small Business Act. The chief purpose of this report is to provide data on all procurement contracts awarded during FY 2017, without goaling exclusions applied. A comprehensive listing of the type and amount of all prime procurement contracts awarded to Other Than Small Business, Small Business, and Socio-economic Small Business for the Federal Government, and for each of the 24 Chief Financial Officers (CFO) Act agencies, are made available in this report. The information in this report was derived from the FY 2017 total contract awards dataset in the Federal Procurement Data System-Next Generation (FPDS-NG) pulled on February 21, 2018. Based on this report, Federal Government procurement contract expenditures totaled \$506 billion during FY 2017. Other than small business expenditures totaled \$397 billion during FY 2017. Small business expenditures totaled \$109 billion during FY 2017. Government-wide dollars awarded to service-disabled veteran-owned small businesses (SDVOSB) totaled \$18 billion; Historically Underutilized Business Zones (HUBZone) small businesses totaled \$7 billion; small disadvantaged businesses (SDB) totaled \$41 billion; and women-owned small businesses (WOSB) totaled \$21 billion.

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*From the Federal Procurement Data System – Next Generation (FPDS-NG) Certified GSA
Fiscal Year 2017 Goaling without Exclusions Report*

Report Preparation Date: 02/21/2018
FY 2017 data Extracted from FPDS-NG on 02/21/2018

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This document contains a report on FY 2017 prime procurement contract awards without goaling exclusions, as required by Section 15(h)(3)(A)(ii) of the Small Business Act. This report will provide, for the Federal Government and each of the funded 24 Chief Financial Officers (CFO) Act Agencies, an accounting of the number and dollar amount of all prime procurement contracts awarded during such fiscal year to—

Small business concerns--

- (I) in the aggregate;
- (II) through sole source contracts;
- (III) through competitions restricted to small business concerns; and
- (IV) through unrestricted competition;

Small business concerns owned and controlled by service-disabled Veterans--

- (I) in the aggregate;
- (II) through sole source contracts;
- (III) through competitions restricted to small business concerns;
- (IV) through competitions restricted to small business concerns owned and controlled by service-disabled veterans; and
- (V) through unrestricted competition;

Qualified HUBZone small business concerns--

- (I) in the aggregate;
- (II) through sole source contracts;
- (III) through competitions restricted to small business concerns;
- (IV) through competitions restricted to qualified HUBZone small business concerns;
- (V) through unrestricted competition where a price evaluation preference was used; and
- (VI) through unrestricted competition where a price evaluation preference was not used;

Small business concerns owned and controlled by socially and economically disadvantaged individuals--

- (I) in the aggregate;
- (II) through sole source contracts;
- (III) through competitions restricted to small business concerns;
- (IV) through competitions restricted to small business concerns owned and controlled by socially and economically disadvantaged individuals;
- (V) through unrestricted competition; and
- (VI) by reason of that concern's certification as a small business owned and controlled by socially and economically disadvantaged individuals;

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Small business concerns owned by an Indian tribe (as such term is defined in section 8(a)(13) [15 USC § 637(a)(13)]) other than an Alaska Native Corporation--

- (I) in the aggregate;
- (II) through sole source contracts;
- (III) through competitions restricted to small business concerns;
- (IV) through competitions restricted to small business concerns owned and controlled by socially and economically disadvantaged individuals; and
- (V) through unrestricted competition;

Small business concerns owned by a Native Hawaiian Organization--

- (I) in the aggregate;
- (II) through sole source contracts;
- (III) through competitions restricted to small business concerns;
- (IV) through competitions restricted to small business concerns owned and controlled by socially and economically disadvantaged individuals; and
- (V) through unrestricted competition;

Small business concerns owned by an Alaska Native Corporation--

- (I) in the aggregate;
- (II) through sole source contracts;
- (III) through competitions restricted to small business concerns;
- (IV) through competitions restricted to small business concerns owned and controlled by socially and economically disadvantaged individuals; and
- (V) through unrestricted competition;

Small business concerns owned and controlled by women--

- (I) in the aggregate;
- (II) through competitions restricted to small business concerns;
- (III) through competitions restricted using the authority under section 8(m)(2) [15 USC § 637(m)(2)];
- (IV) through competitions restricted using the authority under section 8(m)(7) [15 USC § 637(m)(7)] and in which the waiver authority under section 8(m)(3) [15 USC § 637(m)(3)] was used; and
- (V) through unrestricted competition;

Other Than Small Business concerns—

- (I) in the aggregate
- (II) each funded 24 Chief Financial Officers (CFO) - Act Agencies

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Acronyms, Glossary, and Data Definitions:

The data included in this report is derived from the Federal Procurement Data System – Next Generation (FPDS-NG). The General Services Administration's (GSA) Integrated Award Environment provides the GSA Office of Small Business Utilization with an annual dataset extract of all awards made Government-wide in FY 2017. The FY 2017 certified data diskette was extracted from FPDS-NG on February 21, 2018. This report includes the award totals for all, Government-wide procurement records for FY 2017.

Definitions:

Federal Procurement Data System – Next Generation (FPDS-NG) – Is the Federal system used to record detailed procurement data on actions taken on prime contracts.

Small Business Actions (SB Actions) - This column is an aggregate of Number of Actions when the "Contracting Officer's Business Size Selection" is equal to "Small Business."

Small Business Dollars (SB \$) - This column is an aggregate of Dollars Obligated when the "Contracting Officer's Business Size Selection" is equal to "Small Business."

Small business concerns owned and controlled by service-disabled Veterans (SDVOSB) - Where the award record has the following field values as:
SRDVOB_FLAG="YES"

Qualified HUBZone small business concerns (HUBZone) - Where the award record has the following field values as: HUBZONE_FLAG="YES"

Small business concerns owned and controlled by socially and economically disadvantaged individuals (SDB) - Where the award record has the following field values as: FIRM_8A_FLAG = Yes Or FIRM8A_JOINT_VENTURE = Yes Or SDB = Yes Or SDB_FLAG = Yes

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Small business concerns owned by an Indian tribe (as such term is defined in section 8(a)(13) [15 USC § 637(a)(13)]) other than an Alaska Native Corporation (Indian Tribe) - Where the award record has the following field values as:
(INDIAN_TRIBE = Yes and ALASKAN_NATIVE_CORPORATION = No)

Small business concerns owned by a Native Hawaiian Organization (NHO) -
Where the award record has the following field values as:
NATIVE_HAWAIIAN_ORGANIZATION = Yes

Small business concerns owned by an Alaska Native Corporation (ANC) –
Where the award record has the following field values as:
ALASKAN_NATIVE_CORPORATION = Yes

Small business concerns owned and controlled by women (WOSB EDWOSB) -
Where the award record has the following field values as: WOSB_FLAG = Yes or
EDWOSB_FLAG = Yes or JWOSB_FLAG = Yes or EDJWOSB_FLAG = Yes or
(WOMEN_OWNED_FLAG = Yes and CO_BUS_SIZE_DETERMINATION="Small
Business")

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Pursuant to 15 USC 644(h)(3)(A)(ii), this report includes all procurements made for FY 2017 and does not exclude any contracts. It therefore includes the following categories that are excluded from SBA's goaling report:

Goaling Exclusion	Explanation for Exclusion
Javits-Wagner-O'Day Program (JWOD, Sheltered Workshop): Awards that have a contractor with a SAM based Sheltered Workshop designation Flag equal to "Y" are excluded.	The Javits-Wagner-O-Day Act (U.S.C. Section 46 et seq.) is a U. S. Federal law requiring that all Federal agencies purchase specified supplies and services from nonprofit agencies employing persons who are blind or have other significant disabilities. JWOD is a mandatory source.

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UNICOR: Exclude the UNICOR DUNS numbers specified in APPENDIX A of the FPDS-NG Small Business Goaling Report as shown below:

List of DUNS - UNICOR/Federal Prison Industries:

014723167	177021870
025866133	178845053
027435366	183606490
042811430	185501798
056435894	185930542
057272486	187651752
060771920	199234480
068638951	618879423
072724859	622178747
075399977	624770475
085119121	626627459
086854069	626979314
088695218	794034553
096356964	801970971
098736291	801977273
103385519	801983800
118882161	801984964
126924018	801991969
127300429	883387995
127607732	932169972
129794269	932793250
147374714	933631806
148033947	933631814
148071236	933631939
152000998	942195132
161114251	199221480

Federal Prison Industries, also known as UNICOR and FPI, is a wholly owned United States government corporation created in 1934 that uses penal labor from the Federal Bureau of Prisons to produce goods and services. FPI is restricted to selling its products and services to Federal Government agencies. UNICOR is a mandatory source.

The following UNICOR DUNS shall be excluded, effective 10/1/2013:

118575729	196069173
198353984	806788407
878435213	139397371
621502173	801972068
170419167	139611631
782184956	

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<p>American Institute in Taiwan: Awards with the American Institute in Taiwan designated as the contractor for the award and determined by a DUNS number of '161174503'.</p>	<p>The American Institute in Taiwan (AIT) is a non-profit organization established under the auspices of the United States Government to serve its interests in Taiwan. Primarily staffed by employees of the United States Department of State and local workers, it provides services normally provided by a United States diplomatic mission. The establishment of diplomatic relations with the People's Republic of China (PRC) in 1979 required acknowledgment of the One-China policy and termination of diplomatic relations with the Republic of China (ROC). The AIT now serves to assist and protect US interests in Taiwan and other areas in the ROC in a non-official manner. The AIT also processes visas and provides consular services to American expatriates.</p>
<p>Based on the Department of Defense's (DoD's) request, acquisitions performed outside of the U. S. and its territories* in connection with Contingency Operations (10 U.S.C. § 101(a)(13)) and Status of Forces Agreements (32 C.F.R. § 158), are excluded from the goaling base for FY 16 and FY 17. Beginning with FY2018, the above exclusion from goaling is revised. NDAA 2017 revised the Small Business Act and provided a broader exclusion for contracts or task orders awarded by the Department of Defense that: is conducted pursuant to section 22 of the Arms Export Control Act (22 U.S.C. 2762); is a humanitarian operation as defined in section 401(e) of title 10, United States Code; is for a contingency operation, as defined in section 101(a)(13) of title 10, United States Code; is to be awarded pursuant to an agreement with the government of a foreign country in which Armed Forces of the United States are deployed; or where both the place of award and the place of performance are outside of the United States and its territories.</p>	<p>DoD has a need to respond in an expedited manner outside of the United States in a hostile environment and has the potential requirement to award contracts exclusively to firms located in a foreign country for diplomatic reasons. This is effective in FPDS-NG when the date signed is equal to or greater than 10/01/2015.</p> <p>* US Territories:</p> <p>AMERICAN SAMOA [UNITED STATES] UNITED STATES MINOR OUTLYING ISLANDS MIDWAY ISLANDS [UNITED STATES] NORTHERN MARIANA ISLANDS [UNITED STATES] GUAM [UNITED STATES] PUERTO RICO [UNITED STATES] NAVASSA ISLAND [UNITED STATES] JARVIS ISLAND [UNITED STATES] BAKER ISLAND [UNITED STATES] HOWLAND ISLAND [UNITED STATES] JOHNSTON ATOLL [UNITED STATES] KINGMAN REEF [UNITED STATES] PALMYRA ATOLL [UNITED STATES] VIRGIN ISLANDS [UNITED STATES] WAKE ISLAND [UNITED STATES] UNITED STATES MISCELLANEOUS PACIFIC ISLANDS</p>
<p>Acquisitions by agencies on behalf of foreign governments or entities or international organizations: Actions that are funded by foreign entities ("Funded by Foreign Entity" = 'Yes' OR "Foreign Funding" = 'Foreign Funds - FMS' or</p>	<p>These are Government to Government transactions for military and non-military supplies and services where the funds from the foreign government pass-through the purchasing agency to the supplier at no cost to the U.S. Government.</p>

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'Foreign Funds - non-FMS' are excluded from the report.	
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<p>Contracts funded predominately with agency generated sources (i.e., non-appropriated funds), Non-Executive Branch agencies/components, or where the agency is not required to report procurement actions in FPDS-NG are excluded from the goaling report. Accordingly, the following Federal Government agencies , with identifying FPDS- NG activity codes, are excluded:</p> <p>Non-Appropriated Funds</p> <ul style="list-style-type: none"> • FDIC, 5100 • Postal Service, 1800 • Bureau Of Engraving and Printing, 2041 (data are included when the signed date is equal to or greater than October 1, 2013) • United States Mint, 2044 • Office Of The Comptroller Of The Currency, 2046 (data are included when the signed date is equal to or greater than October 1, 2013) • Office Of Thrift Supervision, 2047 (data are included when the signed date is equal to or greater than October 1, 2013) • Transportation Security Administration, 6965; 7013, (data are included when the signed date is equal to or greater than July 1, 2008) • Federal Aviation Administration, 6920 • Tennessee Valley Authority, 6400 • Overseas Private Investment Corporation, 7100 (data are included when the signed date is equal to or greater than October 1, 2013) <p>Judicial Branch</p> <ul style="list-style-type: none"> • Administrative Office of the US Courts, 1027 • Bankruptcy Courts, 1021 • Federal Judicial Center, 1028 • Supreme Court of US, 1001 • US Courts of Appeals-Judicial Circuits, 1002 • US District and Territorial Courts, 1012 <p>Legislative Branch</p> <ul style="list-style-type: none"> • Architect of the Capitol, 0100 • Congressional Budget Office, 0800 <p style="text-align: center;"><u>Other Non-Executive Branch</u></p> <ul style="list-style-type: none"> • Court Services and Offender Supervision Agency, 9594 (data are included when the signed date is equal to or greater than October 1, 2013) 	<p>These contracts do not use appropriated funds. (Includes such revenue sources as user fees, gifts, sale of production, gas taxes, private sector reimbursements, etc.)</p>
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<ul style="list-style-type: none">• Pretrial Services Agency, 959P <u>National Security</u>• Central Intelligence Agency, 5600	
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<p>Acquisitions with a Reason Not Competed value of "RES" for Resale</p>	<p>The majority of these types of contract actions are funded in whole or in part by non- appropriated funds, and therefore are not included in the goaling base. FAR 6.606(c)(7) specifically excludes resale from FPDS-NG reporting. Additionally, FAR 6.302-5(a)(2)(ii), authorizes use of sole source for brand name commercial items for resale.</p>
<p>Product Service codes for Leases in the format X***. (data are included when the signed date is equal to or greater than October 1, 2013)</p>	<p>Leases are included to the extent reported in FPDS-NG.</p>
<p>Product Service codes for Utilities S112 (Electric).</p>	<p>Generally, utility services (Electric) are provided by other than small business concerns and are therefore not available for small business concerns.</p>
<p>Tricare DODAAC - H94002. (based on Contracting Office Code)</p>	<p>Tricare is a health care program of the United States Department of Defense Military Health System. Tricare provides civilian health benefits for U.S Armed Forces military personnel, military retirees, and their dependents, including some members of the Reserve Component. The Tricare program was managed by Tricare Management Activity (TMA) under the authority of the Assistant Secretary of Defense (Health Affairs). Tricare is the civilian care component of the Military Health System, although historically it also included health care delivered in the military medical treatment facilities.</p> <p>On 1 October 2013, TMA was disestablished and Tricare responsibility was transferred to the Defense Health Agency (DHA) which was established on the same day.</p> <p>Tricare DODAAC – H94002 (based on Contracting Office Code) – Reason: under TRICARE, service-members and retirees are able to choose the doctors and medical facilities. The procurements awarded by the Defense Health Agency office identified by H94002 primarily funds contract actions to support these actions after the service-member makes their choice (i.e., the contracting office does not have control as to whether small business considerations are applied).</p>
<p>Tricare DODAAC - HT9402. (based on Contracting Office Code)</p>	<p>See information above.</p>
<p>Centers for Medicare & Medicaid Services (CMS) non-appropriated funded contracts - 00NAF (based</p>	<p>These are non-appropriated funds and are not part of the goaling base. The Federal Acquisition Regulation</p>

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on Contracting Office Code).	(FAR) applies to appropriated funds by executive agency.
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<p>Department of Education’s Not-for-Profit (NFP) Loan Servicing contracts listed below (Base and any Modification), plus any Award (Base or Modification) which references one of these contract numbers should be excluded. (data are excluded when the signed date is equal to or great than October 1, 2013).</p>	<p>These contract numbers represent the Department of Education’s Not-for-Profit-Loan Servicing (NFP) contracts. These are the contracts awarded in pursuant to the Student Aid and Fiscal Responsibility Act of 2009 (P.L. 111-152).</p> <p>Contract Number Servicer:</p> <p>EDFSA11D0012 MISSOURI HIGHER EDUCATION LOAN AUTHORITY EDFSA12D0003 UTAH HIGHER EDUCATION ASSISTANCE AUTHORITY EDFSA12D0005 EDUCATIONAL SERVICES OF AMERICA, INC. EDFSA12D0006 ASPIRE RESOURCES INC. EDFSA12D0007 NEW HAMPSHIRE HIGHER EDUCATION LOAN CORP EDFSA12D0012 OKLAHOMA STUDENT LOAN AUTHORITY EDFSA13D0001 VERMONT STUDENT ASSISTANCE CORPORATION</p> <p>The statute requires the Department of Education to use NFP contractors for this particular service, so there is no small business opportunity.</p>
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