



Open Government Public Engagement Session

Counter Corruption and Ensure Government
Integrity and Accountability to the Public
(International Focus)

November 2nd, 2023

Agenda

Welcome and Norms of Engagement

1-6

NAP 5 Commitments 130.2 and 142 (NSC)

7-9

NAP 5 Commitments 131 (Dept of State)

10-11

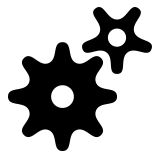
NAP 5 Commitment 130.1 (FinCEN)

12-19

Past Meetings & Engagement Rules



Live Now! Past engagement session materials, including videos, are available [here](#)



The beta commitment tracker is live now available [here](#)



Please feel free to use the chat box or raise hand” function to engage today

Draft Norms of Engagement Co-Creation

Rules of Engagement for Open Government Public Engagement Sessions

1. **Be respectful:** Treat all participants with courtesy and respect. Reasonable people can have differences of opinions and disagree with each other's point of view. Avoid personal insults or derogatory language directed at other participants.
2. **Stay on topic:** Stick to the agenda and avoid going off on unrelated tangents. Those that joined the meeting for a specific topical reason should have their valuable time respected.*
3. **Constructive criticism:** If you disagree, provide constructive feedback and alternative ideas.
4. **Be concise:** Allow each participant to speak without interruption and avoid dominating the conversation. Sticking to the designated time limit for each speaker or topic helps to ensure everyone has an opportunity to contribute.
5. **Encourage participation:** Promote active participation, active listening, and input from everyone, ensuring diverse perspectives are considered.
6. **Follow the facilitator's guidance:** Cooperate with the meeting facilitator and adhere to their instructions for a smooth process.
7. **Stay open-minded:** Be willing to consider alternative viewpoints and adapt your opinions when presented with new information.
8. **Keep it civil:** Remember that the goal is to collaborate and find common ground for positive outcomes.

NAP 5 Theme Overview

Counter Corruption and Ensure Government Integrity and Accountability to the Public

- Implement Open Government Components of a New Government-Wide Strategy on Countering Corruption
- Revitalize the United States' National Action Plan on Responsible Business Conduct
- Bolster Trust in Government through Scientific Integrity and Evidence-Based Policymaking
- Bolster the Trust and Independence of Federal Statistical Agencies and Federal Statistics
- Invest in Core Federal Management Functions for Payment Integrity
- Manage Federal Funding with Accountability, Transparency, and Stewardship
- Affirm the Independence of Federal Inspectors General
- Collaborate with the Council of the Inspectors General and the Oversight Community
- Support Strong Whistleblower Protections
- Strengthening Access to Government Information through the Freedom of Information Act (FOIA)
- Ensure Public Accountability and Transparency Among Administration Officials
- Strengthen Agency Procurement Forecasts to Achieve Stronger Transparency in Future Contract Opportunities
- Continue Implementing the Presidential Initiative for Democratic Renewal.

Today's Commitment Speakers



Abigail Bellows

Director for
Anti-Corruption,
White House National
Security Council



Gia Rowley

Department of State,
Bureau of Democracy,
Human Rights, and
Labor



Jacob Thiessen

Section Chief for Regulatory
Rulemaking,
Office of Regulatory Policy at U.S.
Department of the Treasury,
Financial Crimes Enforcement
Network

NAP 5 Commitments 130.2 and 142

Implement Open Government
Components of a New
Government-Wide Strategy on
Countering Corruption

Implement Open Government Components of a New Government-Wide Strategy on Countering Corruption

Agency: White House Domestic Policy Council, and National Security Council		
#	Sub-Commitment Details	Progress Narrative
US0130.2	The Federal Government commits to working with international partners to further elevate international standards and practices against illicit finance, and continue to build the cooperative relationships to combat such conduct	A fact sheet was released in March 2023 on the implementation of the US Strategy on Countering Corruption, which includes measures to curb and combat illicit finance and foster international cooperation. The fact sheet can be found here: https://bidenwhitehouse.archives.gov/briefing-room/statements-releases/2023/03/29/fact-sheet-implementing-the-united-states-strategy-on-countering-corruption-accomplishments-and-renewed-commitment-in-the-year-of-action/

Implement Open Government Components of a New Government-Wide Strategy on Countering Corruption

Agency: White House Domestic Policy Council, and National Security Council		
#	Commitment Details	Progress Narrative
US0142	The commitments made as part of the PIDR center on five areas of work crucial to the functioning of transparent, accountable governance: (1) supporting free and independent media, (2) fighting corruption, (3) bolstering democratic reforms, (4) advancing technology for democracy, and (5) defending free and fair elections and political processes.	Two fact sheets were released since the launch of the Presidential Initiative for Democratic Renewal (PIDR) that provide useful updates on progress, one in November 2022 and one in March 2023. The fact sheets can be found here: https://bidenwhitehouse.archives.gov/briefing-room/statements-releases/2022/11/29/fact-sheet-summit-for-democracy-progress-in-the-year-of-action/ https://bidenwhitehouse.archives.gov/briefing-room/statements-releases/2023/03/29/fact-sheet-the-biden-harris-administrations-abiding-commitment-to-democratic-renewal-at-home-and-abroad/#:~:text=At%20the%20first%20Summit%20for,through%20diplomacy%20and%20foreign%20assistance

NAP 5 Commitment 131

Revitalize the United States'
National Action Plan on
Responsible Business Conduct

Revitalize the United States' National Action Plan on Responsible Business Conduct

Agency: US Department of State		
#	Commitment Details	Progress Narrative
US0131	In 2021, U.S. Secretary of State Antony Blinken announced an initiative to update and revitalize the United States National Action Plan on Responsible Business Conduct (RBC). The Federal Government commits to implementation of this RBC National Action Plan.	The NAP for Responsible Business Conduct is currently being drafted. Agency commitments have been received by the Department of state and the plan is scheduled to be published by fall 2023 with implementation planned by the December 2024.

NAP 5 Commitment 130.1

Continue Building the
Infrastructure and Database for
Beneficial Ownership Reporting

Zoom Poll

Gave you heard of the Corporate Transparency Act or the new Beneficial Ownership Information Report obligation for reporting companies?

- Yes
- No
- I'm not sure

Implement Open Government Components of a New Government-Wide Strategy on Countering Corruption

Agency: US Department of Treasury		
#	Sub-Commitment Details	Progress Narrative
US0130.1	The U.S. Department of the Treasury is continuing to build the infrastructure and database for beneficial ownership reporting, and will issue further rulemakings to implement the CTA.	<p>The Treasury Department continues to release guidance related to beneficial ownership information reporting and access on its dedicated site linked below. Since the release of NAP 5, updates to the public include informational videos about beneficial ownership reporting, answers to frequently asked questions, and key dates for reporting. Treasury officials have also testified before Congress on CTA implementation and participated in a public meeting with relevant stakeholders in law enforcement, financial institutions, and industry groups to ensure that small businesses understand the new beneficial ownership information reporting requirements and solicit feedback on CTA implementation. https://www.fincen.gov/boi</p> <p>FinCEN CTA Congressional Testimony: Hearing Entitled: “Oversight of the Financial Crimes Enforcement Network (FinCEN) and the Office of Terrorism and Financial Intelligence (TFI)” Financial Services Committee (house.gov)</p>



Beneficial Ownership Information

The Corporate Transparency Act and Beneficial Ownership Information Reporting Requirements for Companies



U.S. Department of the Treasury
Financial Crimes Enforcement Network
www.fincen.gov/boi

What is the Corporate Transparency Act, or CTA?

- The CTA was enacted by Congress in 2021.
- This law creates a beneficial ownership information reporting requirement designed to make it harder for bad actors to use shell companies to hide their ill-gotten gains and to help law enforcement identify who is behind these bad companies.
- Companies will report their beneficial ownership information to the Financial Crimes Enforcement Network, or FinCEN, a bureau within the U.S. Department of the Treasury.

Beneficial Ownership Reporting Resources

- Beginning on **January 1, 2024**, many companies in the United States will have to begin reporting information about their beneficial owners, i.e., the individuals who ultimately own or control the company, and their company applicants.
- FinCEN developed a Beneficial Ownership webpage to assist and educate small businesses on these new reporting requirements – www.fincen.gov/boi
 - The webpage contains guidance documents, answers to frequently asked questions, introductory videos, quick reference guides, and other resources for small businesses to help them understand the requirement to report beneficial ownership information to FinCEN.
- FinCEN has issued a **Small Entity Compliance Guide** that walks small businesses through these requirements in plain language.

Small Entity Compliance Guide

Available at www.fincen.gov/boi



01

Does my company have to report its beneficial owners?



02

Who is a beneficial owner of my company?



03

Does my company have to report its company applicants?



04

What specific information does my company need to report?



05

When and how should my company file its initial report?



06

What if there are changes to or inaccuracies in reported information?

Additional Resources

- Visit FinCEN's website at www.fincen.gov/boi for more information
- Small Entity Compliance Guide
- Frequently Asked Questions
- Small Business Resources
- Introductory Videos
- Quick Reference materials
- Contact FinCEN at www.fincen.gov/contact. Email us at FRC@fincen.gov or call 1-800-767-2825.
- Visit FinCEN.gov to sign up for FinCEN's email subscription service to be immediately notified of beneficial ownership updates.

Thank You!

For more information:

<https://open.usa.gov/>

To Reach us:

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