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Subreporting Questions

Question	Answer
How will this impact FFATA Reporting and previously report subawards? Which month will this change take place for FFATA Reporting?	As of April 4, 2022, subawardees will no longer be identified via the DUNS Number. They will have to be identified by the Unique Entity ID (SAM). Prime awardees will need to know the Unique Entity IDs of subawardees to submit a subaward report.
After April 4, 2022 will FSRS require the UEI to upload and retrieve data from SAM.gov?	Yes, the search and upload in FSRS will use the Unique Entity ID as of April 4, 2022. The DUNS Number will not be available.
We are a state agency that has subrecipients of federal funds. Is there a way we can request UEI numbers for (a couple thousand records) all of our grantees at once?	No, each entity must request its own Unique Entity ID. You cannot request Unique Entity IDs for your subrecipients.
Will this change be effective amongst all open grants/subgrants on April 4th. Or, only new grants/subgrants beginning after April 4th?	The DUNS Number will no longer appear in government systems as of April 4, 2022. Please review instructions on grant submittals closely for guidance on use of the Unique Entity ID.
We subgrant federal funds on a state level. Is there an API or other way we (as the grantsmaker) can request UEI numbers for (a couple thousand records) all of our grantees at	Soon, federal users will be able to use the Entity Management API available at <u>open.GSA.gov</u> to pull a list of entities and their corresponding DUNS and UEI (SAM) numbers.





once?	
Presume the requirement for UEI only applies to FIRST-TIER subs under a prime federal award?	The requirements for an identifier in eSRS are not changing, just the identifier itself is changing from DUNS to Unique Entity ID (SAM).
For subgrantees that applied for awards with a DUNS as a reporting requirement and the grant period straddles the April 4 2022 change over, do these entities need a UEI for final reports?	Yes. All Integrated Award Environment (IAE) systems currently support both numbers—the DUNS Number and the Unique Entity ID (SAM). On April 4, everything will be Unique Entity ID only.

What if the entity has no physical address?	If you work at more than one location or use a virtual office, your physical address should be the address where you keep the books and records for your company. If that happens to be your home address, then please use that location. As an example, a dentist who does not have an office and travels to various locations to work would likely keep records at home. Therefore, the dentist would use the home address as the physical address.
Will a proof of address or other documentation be required to obtain a UEI?	SAM.gov will validate the information against our entity validation service. If the entity validation service needs additional proof of address or incorporation, then yes, additional proof may be requested to establish the entity at the address.
The site requested zip+4. Will it be alright to skip the +4 on the live site?	Yes, the +4 portion is optional.
What will they see when DUNS goes away for validation?	After April 4, 2022, when you request a Unique Entity ID (SAM), the request and validation process will happen on SAM.gov. If there are no errors in validating the entity, the validation will happen immediately and the Unique Entity ID will be provided.

Address Validation Questions





Does this provide an approximate match for the organization name/address, or does it have to be exact (including address abbreviations) to what D&B has on file?	Before April 4, 2022, validation with Dun & Bradstreet requires that the information you enter into SAM.gov exactly match what is on your DUNS record. On April 4, 2022, there will be some flexibility in some of the data fields. For example, if you enter "Parkway" as part of your street address, and the validation service returns "PKWY," it won't cause an error.
Today, I update my legal business name and address at Dun & Bradstreet. How will I update my entity's information when we stop using DUNS?	Before April 4, 2022, continue to work with Dun & Bradstreet to update your entity's legal business name or address. As of April 4, 2022, you will be able to make those updates to your record on SAM.gov. We will provide more information about this process early next year.

Identity and Security Questions

How does it work if the UEI is for an entity working on the behalf of a registered entity?	Unique Entity IDs are unique to each individual entity. If an entity is a subcontractor to another entity, there is no connection in <u>SAM.gov</u> entity records of this subcontracting agreement, as these change. A prime contractor may ask a subcontractor for its Unique Entity ID (SAM) to submit subcontracting reports to the government. That subcontractor would need to go to <u>SAM.gov</u> and get a Unique Entity ID (SAM) if they don't already have one assigned. If you are requesting a Unique Entity ID on behalf of another entity, you must certify under penalty of law that you are authorized to conduct transactions on that entity's behalf.
Will there be any direct communication via email from the federal government to organizations with DUNS numbers about this shift?	GSA will provide information through various channels including home page announcements, <u>IAE Interact</u> , social media, <u>FSD.gov</u> , and public meetings.
What will I need in order to fill out the form that gets me a UEI (SAM)? Do I have to register?	You need to create an account in <u>SAM.gov</u> . A <u>SAM.gov</u> account is different from a registration. A <u>SAM.gov</u> account includes your username and password and





	allows you to authenticate yourself and sign in to the site. When you request a Unique Entity ID, you will need to sign in to your account and have information about your organization, like your legal business name and physical address, to request a Unique Entity ID.
If an entity obtains a UEI number only and is not fully registered, they can hide their information (UEI number/name/address) from a public search, correct?	Yes. Entities have the option to opt in or opt out of the public search when they request a UEI. If you opt out, only authenticated federal users and you will be able to view your entity information on <u>SAM.gov</u> . Note that entity information marked as non-public can be obtained in Freedom of Information Act (FOIA) requests.
If I am trying to find an entity, would I be able to find them in SAMs if they have not completed their registration?	If an entity has a UEI only and has opted into public search, they can be searched for on <u>SAM.gov</u> . The same is true for entities that have a registration.

CAGE Code Questions

My question is around CAGE codes. We have an	
existing UEI tied to one CAGE but we have several	The change to the Unique Entity ID (SAM) does not
CAGE codes with DLA for different locations, but we	impact your CAGE or NCAGE codes. CAGE codes will still
do not want different UEIs for each cage. It seems	be available and used on <u>SAM.gov</u> registration records.
like CAGE codes are requiring you to, and we do not	Questions related to CAGE and NCAGE codes should be
want multiples. Would a UEI without a registration	directed to the <u>CAGE website.</u>
meet this requirement?	
We have entities that will not be awarded	
contracts, they are just location of business (in	
locations of our headquarter registration). Those	
other location do have CAGE codes with DLA for	CAGE is location-based information. I believe you can still
purposes of DD254s. I am in loop that they want	get a CAGE without registering in SAM if you only need a
those locations CAGE Codes to have a DUNS and	CAGE.
SAM UEI, but we do not want them to, because it is	
another registration to manage and we only have	
one bank account and set of reps and certs. Can we	
have a registration that is not to get awards with	





Stakeholder Forum Questions

the federal government?	
Both today and after April 4, will subaward recipients applying for UEI (SAM) still need to obtain an NCAGE code?	If you are requesting the Unique Entity ID only, an NCAGE is not required.
Will CAGE continue to utilize DUN to verify entity?	The Defense Logistics Agency (DLA) manages and issues the CAGE code. Questions related to CAGE and NCAGE codes should be directed to the <u>CAGE website</u> .
Is the Unique Entity ID (UEI) number the same as the SAM Cage Code?	No. The Unique Entity ID (SAM) is a new identifier that will replace the DUNS Number in April, 2022. CAGE is issued and managed by the Defense Logistics Agency (DLA).

Login, Roles, Getting Help, and Other Questions

Why not use the EINanother federally issued number?	The EIN is managed and issued by the IRS and not <u>SAM.gov</u> . It is also considered personally identifiable information (PII).
So a grant writer would need to request a "role" to do something related to our organization, after we get our UEI (SAM).	In SAM.gov, roles are sets of permissions assigned to user accounts that allow you to access certain types of data and perform certain tasks. Roles are granted by administrators in an organization, not by GSA. <u>Please</u> <u>view this help article about roles and permissions</u> .
will the help options/ features (calls, helpdesk tickets with document upload, chats?) be the same for entities solely obtaining UEI vs registration+UEI?	Help available at our helpdesk, the Federal Service Desk (<u>FSD.gov</u>), is the same regardless of what type of user you are.
Help is not a live chat feature right? Just articles?	Help on FSD.gov is available in the form of searchable self-help articles or live help via the create incident form, live chat, and phone.
Currently I log into SAM.gov through LOGIN.gov . Will I login into SAM.gov directly on that website after April 4, 2022?	Signing into <u>SAM.gov</u> uses <u>Login.gov</u> for your username and password and will continue to do so before and after April 4, 2022.
If a new organization doesn't have a DUNS, can	Before April 4, 2022, you still must get a DUNS Number in





they go directly to SAM to get a UEI now and skip getting a DUNS?	order to request a Unique Entity ID (SAM) or start an entity registration. After April 4, 2022, you will no longer need a DUNS Number.
Is the format of the UEI number going to be similar	The Unique Entity ID (SAM) is a 12-character
to the example shared during this presentation -	alphanumeric value. The letters "O" and "I" will not be
what looks like a random set of alphanumeric	used to avoid confusion with zero and one. The first
characters? If so, it seems like this UEI number	character will not be zero to avoid cutting off digits that
format is going to make it difficult for users to	can occur during data imports, for example, when
memorize.	importing data into spreadsheet programs.
Is it possible for a state agency to receive a list of	Soon, federal users will be able to use the Entity
corresponding UEI (SAM) for a large number of	Management API available at <u>open.GSA.gov</u> to pull a list
organizations within our state that already have a	of entities and their corresponding DUNS and UEI (SAM)
DUNS number?	numbers.
I was told government issued id would be required	Identity verification will eventually be required for
for everyone by end of fiscal year FY22, you gave	non-federal <u>SAM.gov</u> users who have the Entity
what is required now?	Administrator role.
If the UEI was automatically assigned to our agency, do we need to do anything else?	Entities that have an active or inactive registration in <u>SAM.gov</u> today have already been assigned their UEI. No further action is required.
What? So after April 4, they will have to do the full registration to get a uei?	No, subawardees and others who do not need to be registered in SAM.gov can get their UEI through the Get Unique Entity ID only process without having to register.
Will there be international telephone hotlines/chat	Help available at our helpdesk, the Federal Service Desk,
feature for orgs if there are issues obtaining UEI?	is the same regardless of what type of user you are. An
(speaking of subs that are located outside of the	international phone number is available for users
US). I believe DUNS has (or had) these regional int'l	outside of the U.S., and the incident form and live chat
hotlines to support their int'l users.	are available on the helpdesk website, <u>FSD.gov</u> .

